

## **DISCLAIMER**

### **Adarsh Nimborkar – SEBI Registration Assistance Service**

#### **1. Scope of Services**

Our firm provides documentation assistance and advisory support for individuals and entities seeking registration as a Research Analyst (RA) or Registered Investment Advisor (RIA) with the Securities and Exchange Board of India (SEBI). Our services are limited to guiding applicants through documentation preparation and submission as per prevailing SEBI regulations.

#### **2. No Guarantee of SEBI Approval**

We facilitate the registration process by assisting with paperwork, compliance documentation, and procedural guidance. However, we do not have any influence over SEBI's decision-making process. Approval, rejection, or requests for additional information are solely at SEBI's discretion. We do not guarantee or assure the approval of any application submitted through our assistance.

#### **3. Compliance Responsibility of the Applicant**

It is the sole responsibility of the applicant to ensure compliance with SEBI's eligibility criteria, disclosure requirements, and regulatory norms. Any misrepresentation, omission of facts, or non-compliance with SEBI's prescribed guidelines may result in the rejection or cancellation of the registration. We do not assume liability for non-compliance by the applicant.

#### **4. No Legal or Financial Advisory Service**

The services provided are purely for documentation assistance and procedural guidance. We do not offer legal opinions, financial advice, investment recommendations, or assurance of business viability. Applicants are encouraged to seek independent professional legal and financial consultation for personalized advisory needs.

#### **5. Limitation of Liability**

We shall not be held responsible for:

- Any financial losses, penalties, or damages incurred due to the rejection, delay, or cancellation of the SEBI registration.
- Any decisions taken by SEBI or other regulatory authorities based on the applicant's submitted information.
- Any regulatory scrutiny, compliance issues, or penalties imposed on the applicant post-registration.

## 6. Confidentiality and Data Protection

We adhere to strict confidentiality protocols to safeguard applicant information. However, we shall not be liable for:

- Any data leaks or security breaches arising due to third-party involvement, including government portals and SEBI databases.
- Any disclosure required by legal authorities or regulatory mandates.

## 7. Service Fees & Non-Refundable Policy

The fees charged for our documentation assistance and registration guidance are non-refundable, irrespective of the final outcome of the SEBI application. Applicants acknowledge that payment does not ensure approval.

## 8. Regulatory Amendments and Applicant Responsibility

SEBI reserves the right to amend its regulations, guidelines, and requirements at any time. Applicants are responsible for staying updated on SEBI's latest policies and maintaining ongoing compliance with regulatory requirements even after obtaining registration.

## 9. Dispute Resolution and Jurisdiction

Any disputes arising from our services shall be governed by and construed in accordance with the laws of India. The courts of **Nagpur, Maharashtra** shall have exclusive jurisdiction to adjudicate any disputes, claims, or legal matters pertaining to our services.